

the potential drop which occurs in the film will contribute to the photopotential. As expected, thinner, type A, GaPc-Cl films have shown much diminished photopotentials (typically less than 20 mV) for the same redox couples as in Figure 3.<sup>3</sup>

At illuminated GaPc-Cl/Au films, hydrogen evolution was possible at under-potentials of up to 500 mV on the as-prepared GaPc-Cl films but at very low power efficiencies (<0.001%). The shape of the SE voltammogram suggested that the photocurrents were limited by H<sub>2</sub>-evolution kinetics rather than photon-limited, bulk conduction. Significant improvements were made when platinum catalytic sites were electrochemically deposited (less than 1 equiv of monolayer), as per the method of Heller for InP.<sup>27</sup> Figure 2d shows the SE voltammogram for a Pt treated GaPc-Cl electrode in a pH 1.9 buffer, compared to a Au-MPOTE electrode platinized to the same surface coverage. Hydrogen evolution occurred at 450 mV underpotential, and the slope of the *i*-*V* was very close to that of the RDE studies of other redox couples. The power conversion efficiency was estimated from the *i*/*V* curves to be between 0.05 and 0.1% and is chiefly limited by the internal resistance of the Pc film. Continuous operation at an applied potential of -200 mV vs. Ag/AgCl showed a decrease of current density of 60% over 7 h. This decrease in stability could be attributed however to the loss of Pt activity—replatinizing of the electrode returned the photocurrent to the initial value. These currents were not due to trace oxygen in solution, as indicated by the lack of current observed at potentials positive of -0.40 V on the platinized-gold electrodes.

### Conclusion

It is clear from these studies that the photoelectrochemical results observed with the GaPc-Cl/Au electrodes are quite encouraging for further development of organic thin films. This success and further developments hinge on the ability to grow continuous films of large, blocklike crystals of about 1 μm

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thickness. Previous Pc thin-film photoelectrochemical redox processes have been observed with significantly lower efficiencies which we attribute to porous films, submicrometer sized crystallites, or randomly oriented needle or platelet crystals having poor contact with the conducting substrate.<sup>1-13</sup> The thickness and ordering of the type C Pc films are producible at a level where the minimum defect density is ca. 10<sup>18</sup>/cm<sup>-3</sup>, estimated from the Pc concentration on the edge and lattice termination sites in Figure 1 (assuming these to be the active recombination sites and ignoring possible bulk recombination sites). That defect density is within an order of magnitude of that required in the model of Rose for energy conversion using thin-film photoconductors sandwiched between two dissimilar phases.<sup>14,15</sup> In contrast to most single crystal materials, a higher defect density of the Pc films can be tolerated because of the smaller migration distances required of the charge carriers while still maintaining a high optical density. The efficiencies for the photoelectrochemical reactions are still considerably lower than for the single-crystal semiconductor materials.<sup>27-30</sup> The promise of decreasing the defect density of the ordered Pc film further and/or increasing the photoconductivity through the addition of dopants is being explored with encouraging preliminary results. The thermodynamic driving force for the photoelectrochemical processes is limited by the difference in chemical potential of an electron in the redox couple and the metal, which points to the use of metal substrates with larger work functions and aqueous couples with more extreme emf's to maximize the photovoltage of a thin-film Pc device.

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**Registry No.** GaPc-Cl, 19717-79-4; H<sub>2</sub>, 1333-74-0; Pt, 7440-06-4.

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## IR Laser Induced Isomerization of Fe(CO)<sub>4</sub>: A Unique Example of the Jahn-Teller Effect

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**Abstract:** We present the first explanation of the non-Berry pseudorotation of Fe(CO)<sub>4</sub> (Davies, B.; McNeish, A.; Poliakoff, M.; Turner, J. J. *J. Am. Chem. Soc.* **1977**, *99*, 7573-79). A topological model, the *distortion octahedron*, is developed to represent possible distortions of a *T<sub>d</sub>* four-coordinate molecule and the lowest energy isomerization pathways between equivalent *C<sub>2v</sub>* distorted geometries. The model provides a simple rationalization of why intramolecular ligand exchange in Fe(CO)<sub>4</sub> differs from that in SF<sub>4</sub>, which has a similar *C<sub>2v</sub>* geometry. Our qualitative arguments are fully supported by a rigorous application of the Jahn-Teller theorem, the results of which are briefly summarized here. The precise information provided by the IR laser induced isomerization allows the distortions of Fe(CO)<sub>4</sub> to be analyzed in more detail than is usually possible with thermally induced processes.

Fe(CO)<sub>4</sub> is a coordinatively unsaturated molecule, which plays a central role in the photochemistry of Fe(CO)<sub>5</sub> and Fe(CO)<sub>4</sub>-olefin species.<sup>2,3</sup> In low-temperature matrices, Fe(CO)<sub>4</sub> has been shown<sup>4</sup> to have a *C<sub>2v</sub>* structure with bond angles ~145° and

~120°. The symmetry is the same as that of SF<sub>4</sub>, but the bond angles are significantly different<sup>5</sup> (SF<sub>4</sub>, 183° and 104°). Fe(CO)<sub>4</sub> has never been directly observed in solution, but recently-obtained time-resolved IR spectra show that Fe(CO)<sub>4</sub> almost certainly has

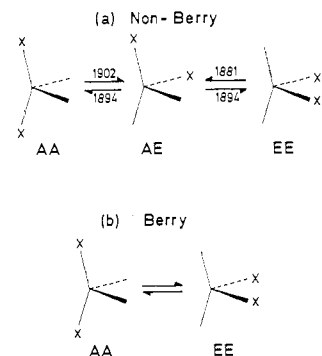
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**Figure 1.** (a) The observed “non-Berry” pseudorotation of the isotopomers of  $\text{Fe}(\text{CO})_2(^{13}\text{C}^{18}\text{O})_2$  in an Ar matrix and the IR frequencies ( $\text{cm}^{-1}$ ) which promote interconversion.<sup>12</sup> X represents  $^{13}\text{C}^{18}\text{O}$ . (b) The Berry pseudorotation. The molecules are labeled AA, AE, and EE, where A and E refer to axial and equatorial substitution. These isotopomers were called 4, 5, and 6, respectively, in ref 12. The thermal rearrangement of  $\text{SF}_4$  is equivalent to the Berry pseudorotation.<sup>15</sup> Note that at the temperature of the matrix experiment, 10–30 K, all purely thermal rearrangements are frozen out and only the irradiated molecules have sufficient energy to undergo isomerization. Thus, unlike the thermal experiment the various isoenergetic interconversions (AA  $\rightarrow$  AE, EE  $\rightarrow$  AE, etc.) can be studied individually. The IR laser induced isomerization involves the absorption of only a single IR photon by a particular molecule, although the quantum yield is low.<sup>12,13</sup>

a similar  $C_{2v}$  structure in the gas phase.<sup>6</sup> This conclusion is supported both by approximate<sup>7,8</sup> and ab initio<sup>9</sup> molecular orbital calculations which all predict a  $C_{2v}$  structure as the minimum-energy geometry for  $\text{Fe}(\text{CO})_4$  in a triplet ground state. MCD spectra of matrix-isolated  $\text{Fe}(\text{CO})_4$  confirm that it is indeed paramagnetic,<sup>10</sup> although attempts to record the EPR spectrum have failed,<sup>11</sup> perhaps because of a large zero-field splitting.

Of particular relevance to this paper is the IR laser induced isomerization<sup>12,13</sup> of matrix-isolated  $\text{Fe}(\text{CO})_4$ . When  $\text{Fe}(\text{CO})_4$  is generated from  $\text{Fe}(\text{CO})_5$  partially enriched with  $^{13}\text{CO}$  or  $^{13}\text{C}^{18}\text{O}$ , one obtains a mixture of isotopomers,  $\text{Fe}(^{12}\text{CO})_{4-x}(^*\text{CO})_x$ , each of which has distinctive IR absorptions in the  $\nu_{\text{C-O}}$  region. If the matrix is irradiated with a CO laser tuned to an IR frequency coincident with one of these absorptions, intramolecular ligand exchange occurs.<sup>14</sup> Thus, the three isotopomers of  $\text{Fe}(\text{CO})_2(^*\text{CO})_2$  can be interconverted by IR irradiation at the appropriate frequencies, Figure 1a. This rearrangement mode of  $\text{Fe}(\text{CO})_4$  is the only known example of the non-Berry pseudorotation<sup>12</sup> and up till now has not been satisfactorily explained. By contrast, the  $^{19}\text{F}$  dynamic NMR spectrum of  $\text{SF}_4$  shows that thermal intramolecular ligand exchange in  $\text{SF}_4$  involves a Berry pseudorotation,<sup>15</sup> Figure 1b, which has been rationalized by using simple

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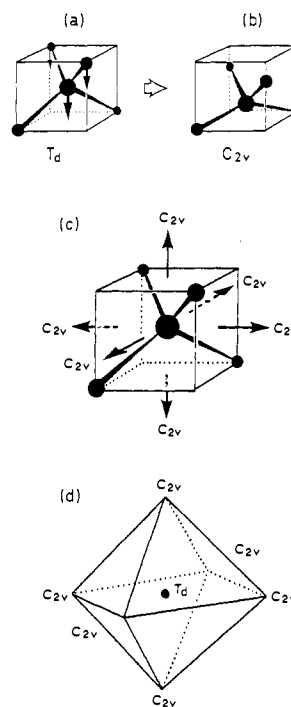
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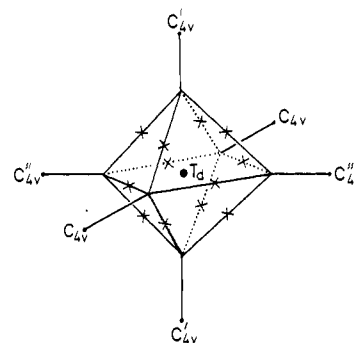
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**Figure 2.** The geometrical derivation of the *distortion octahedron* (a)  $T_d$   $\text{Fe}(\text{CO})_4$  inscribed in a cube. (b) The observed  $C_{2v}$  structure of  $\text{Fe}(\text{CO})_4$  inscribed in a similar cube. This structure is topologically related to  $T_d$  by the movement of the atoms in the direction of the arrows. (c) The six equivalent distortions of  $T_d$   $\text{Fe}(\text{CO})_4$ . (d) The *distortion octahedron* (explained in detail in the text) with  $T_d$  and  $C_{2v}$  points marked.



**Figure 3.** The *distortion octahedron* showing points representing equivalent  $C_s$  structures (centers of edges) and  $C_{4v}$  structures. Note that distortion of a  $T_d$  molecule can produce only three equivalent  $C_{4v}$  structures<sup>21</sup> and that each structure is represented twice on the *octahedron* as indicated by the primes.

molecular orbital arguments.<sup>16</sup>

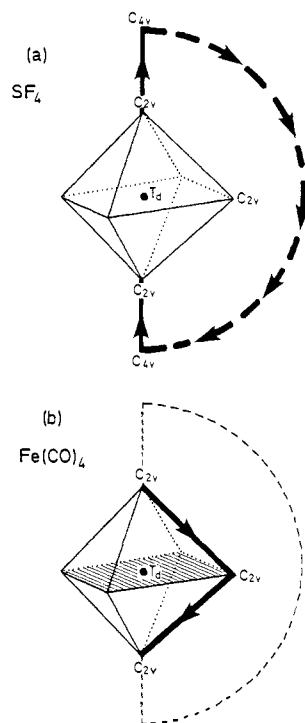
In the past, topological models have been used with considerable success to enumerate isomers<sup>17</sup> and their interconversion pathways.<sup>18</sup> In this paper we derive a simple topological model, the *distortion octahedron*, to represent distortions of a tetrahedral four-coordinate molecule. We show that this model provides a simple rationalization of the non-Berry pseudorotation of  $\text{Fe}(\text{CO})_4$  and why it differs from the ligand exchange in  $\text{SF}_4$ . We indicate that the *distortion octahedron* is in reality a qualitative expression

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**Figure 4.** The lowest energy pathways for interconversion of the  $C_{2v}$  structures represented by the top and bottom vertices of the *distortion octahedron* (a) for  $SF_4$  and (b) for  $Fe(CO)_4$ —note that only one of the four equivalent pathways has been indicated for  $Fe(CO)_4$ .

of the Jahn–Teller theorem and that our conclusions are supported by a more rigorous Jahn–Teller analysis. Finally, we comment on the mechanism of the IR laser photochemistry of  $Fe(CO)_4$  in the light of our model.

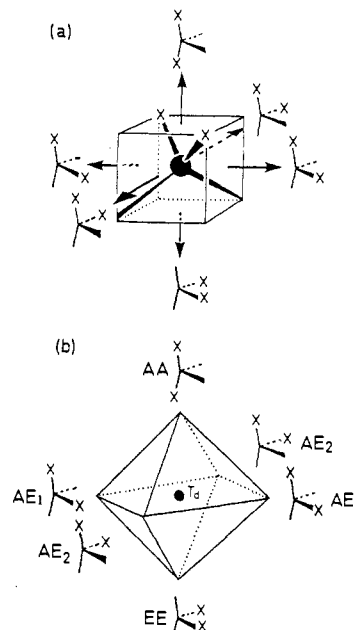
### The Topological Model

**(a) The “Distortion Octahedron”.** Imagine a  $T_d$  four-coordinate molecule inscribed in a cube, Figure 2a. This  $T_d$  structure is related *topologically* to the observed  $C_{2v}$  geometry of  $Fe(CO)_4$ , Figure 2b, by a movement of the Fe atom and CO groups toward one face of the cube.<sup>19</sup> Since all six faces of the original cube are equivalent, Figure 2a, the Fe atom (and CO groups) could have been moved toward any of the six faces to produce the observed  $C_{2v}$  geometry. Thus, there are six equivalent distortions of  $T_d$   $Fe(CO)_4$  all leading to the same  $C_{2v}$  geometry, Figure 2c. We represent these distortions using the *distortion octahedron*, Figure 2d, which is the key to our analysis of the non-Berry pseudorotation.

The center of the *octahedron* represents the undistorted  $T_d$  structure,<sup>20</sup> and the vertices represent the observed  $C_{2v}$  geometry. Thus, points along a line joining the center to a vertex will correspond to increasingly distorted  $C_{2v}$  structures. Similarly, all other points on the surface of the *octahedron* (and within its volume) represent particular distortions of the “parent”  $T_d$   $Fe(CO)_4$  unit. Of importance here are the  $C_s$  structures, represented by the centers of the edges, and the  $C_{4v}$  structures which are the limit of the  $C_{2v}$  distortion coordinate. (See Figure 3—note that a particular  $C_{4v}$  structure appears *twice* on the *octahedron*.<sup>21</sup>)

(19) Our topological model is described as if the distortions of  $Fe(CO)_4$  merely involved changes in bond angles without changes in bond lengths. The model would be equally valid if bond lengths did change, but this would make it more complicated to deduce the exact bond angles represented by a particular point on the *distortion octahedron*. For  $SF_4$  the axial and equatorial bond lengths are known to be different, so a “true”  $C_{2v}$  distortion co-ordinate should involve a change in bond length.

(20) A  $T_d$   $ML_4$  molecule has both  $t_2$  and  $e$  bending vibrations and so a five-dimensional diagram would be needed to represent every possible distortion. Thus, some structures are not represented on our *octahedron*, most notably  $D_{2d}$  symmetry. The  $T_d$  point of the *octahedron* would also appear on the  $T_d/D_{2d}$  distortion diagram, and so this point should be considered rigorously to represent these “missing” structures as well.



**Figure 5.** (a) The six equivalent distortions of  $T_d$   $Fe(CO)_2(*CO)_2$ ,  $X = *CO$ . All isotopomers have been drawn with the same orientation, with the  $C_2$  axis horizontal to make identification easier. (b) The *distortion octahedron* corresponding to (a).  $AE_1$  and  $AE_2$  are enantiomers of isotopomer  $AE$ .

Any line on, or within, the *octahedron* represents a series of geometries along an isomerization pathway. This pathway interconverts the two structures represented by the end points of the line. Thus, an edge of the *octahedron* represents the intermediate structures on one possible  $C_{2v} \rightarrow C_{2v}$  isomerization pathway.

The *octahedron* itself contains no information about the relative energies of the different distorted structures. Thus, it would be equally valid for  $Fe(CO)_4$  and  $SF_4$ , although the exact meaning of the  $C_{2v}$  distortion coordinate will be different for the two molecules because the observed bond angles are different. For both  $SF_4$  and  $Fe(CO)_4$  (in a triplet ground state) the center of the *octahedron*,  $T_d$ , will be an energy maximum and the vertices will be energy minima, representing the *observed*  $C_{2v}$  geometry. For  $SF_4$  there is a relatively low-energy  $C_{4v}$  geometry, but for  $Fe(CO)_4$  any  $C_{4v}$  geometry will be of higher energy than the  $T_d$  structure. This difference arises because  $SF_4(C_{4v})$  has a non-bonding<sup>16</sup> HOMO while  $Fe(CO)_4(C_{4v})$  has an Fe–C antibonding HOMO. The result is that the lowest energy isomerization pathways for  $SF_4$  and  $Fe(CO)_4$  are different.

The low-energy pathways are shown in Figure 4. For  $SF_4$  there is a low-energy path (via a  $C_{4v}$  geometry) connecting the  $C_{2v}$  structures represented by the top and bottom vertices of the *distortion octahedron*.<sup>22</sup>  $C_{2v(top)} \rightarrow C_{4v} \rightarrow C_{2v(bottom)}$ . This pathway is not easily accessible in  $Fe(CO)_4$  because of the high energy of the  $C_{4v}$  geometry. For  $Fe(CO)_4$ , therefore, the lowest energy pathway connecting the top and bottom vertices must pass through a geometry represented by a point on the equatorial plane of the *octahedron* (shaded in Figure 4). Since the equatorial vertices represent energy minima (the *observed* geometry) in this plane,

(21) Distortion of the  $T_d$  structure toward either of the opposite faces of the cube in Figure 2a will produce the same  $C_{4v}$  structure, although one structure will be inverted relative to the other. Hence the appearance of the same  $C_{4v}$  structure twice on the *octahedron*. In the unlikely event of all four CO groups being spectroscopically distinguishable, e.g.,  $Fe(^{12}CO)(^{13}CO)(^{18}O)(^{13}C^{18}O)$ , the two  $C_{4v}$  structures would be enantiomeric and no longer identical.

(22) This analysis of the isomerization of  $SF_4$  is only qualitative since our diagram does not include all possible  $C_{4v}$  geometries or any  $D_{2d}$  geometries (see ref 20). Furthermore, the true  $SF_4$  distortion space will be *eight* dimensional,  $t_2$  and  $e$  bending and  $t_2$  S–F stretching vibrational modes. Nevertheless, this pathway is consistent with that proposed in Figure 4 of ref 16. Unfortunately, an IR laser/matrix isolation experiment is not feasible with  $SF_4$ , as only one stable fluorine isotope exists.



However, this paper presents a rationalization which suggests that  $\text{Fe}(\text{CO})_4$  with its IR laser induced isomerization is a unique manifestation of the Jahn-Teller effect. Moreover, our topological model, the *distortion octahedron*, which was developed to study the pseudorotation, should be more generally applicable to the distortions of other four-coordinate molecules.

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Registry No.  $\text{Fe}(\text{CO})_4$ , 15281-98-8.

## Fragments in Molecules: The Decomposition of Reaction Surfaces into Diabatic Components in the Framework of an ab Initio CI Approach

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**Abstract:** In the present paper we describe a procedure for the computation of diabatic surfaces, defined in the framework of an ab initio CI approach. The main feature of this procedure is that each diabatic surface is associated with a specific bonding situation and thus with a specific packet of configurations built from the valence orbitals of the fragments. This computational procedure is applied here for illustrative purposes to some reactivity problems, such as the cyanate-isocyanate rearrangement, the [1,2]-sigmatropic shift in propene, a model  $\text{S}_{\text{N}}2$  reaction, and the addition of singlet methylene to ethylene. It is shown that in each case this type of quantitative analysis provides a clear understanding of the origin of the various transition states occurring in these reactions.

### 1. Introduction

The analysis of adiabatic surfaces in terms of diabatic components is playing an increasingly important role in the interpretation of organic phenomena.<sup>2-4</sup> Two quantum mechanical formalisms have been essentially used for such analyses; one is related to the molecular orbital (MO) method<sup>2,5</sup> and the other, the LCFC (linear combination of fragment configurations) approach,<sup>3,4</sup> is related to the valence bond (VB) method, and both have been essentially applied at a qualitative level.

During the past 5 years there have been important technical advances in the quantum mechanical methods available for the computation of molecular potential energy surfaces, and the ab initio optimization of geometries of equilibrium and transition states is now practical.<sup>6</sup> We have now reached the point where it is necessary to analyze these surfaces in a quantitative way. The central problem in implementing such a computational procedure in a quantitative fashion is the formulation of a precise operational quantum mechanical definition of the diabatic surfaces themselves. In the MO method, where the orbitals are the MO's of the mo-

lecular problem under investigation, the diabatic surfaces are associated with the various MO configurations; while in the LCFC approach, where the orbitals are those of the molecular fragments or reactants, the diabatic surfaces are associated with the isolated fragment, charge transfer, and locally excited configurations. The two formalisms can be interconnected in both a qualitative and quantitative manner<sup>7</sup> and in the limit yield the same adiabatic surface. However, the decomposition into diabatic curves may be very different in the two models.

In the present work we shall use a LCFC formalism, with the definition that each diabatic surface describes a specific bonding situation in terms of the orbitals of the isolated fragments. This definition will lead to clearly defined diabatic surfaces and related crossings in the various kinds of reactivity problems.

In this paper we describe first a quantitative procedure based on a CI approach for computing these diabatic surfaces. All computations presented here have been performed at the STO-3G level<sup>8</sup> using the for the integral evaluation and the solution of the SCF equations the GAUSSIAN80 series of programs.<sup>9</sup> The matrix elements for the CI calculations have been computed by using the unitary group method described by Hegarty and Robb.<sup>10</sup>

While the quantitative procedure presented here can be applied in any atomic orbital basis, it is expected that already with a minimal basis set useful information can be obtained about the behavior of the various diabatic curves and the regions of crossings. This procedure can be used either for rationalizing the results of more sophisticated calculations or for obtaining information about

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